

ASSESSMENT OF PERIOPERATIVE PROPHYLAXIS OF INFECTIOUS COMPLICATIONS IN POST-OP PATIENTS

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Perioperative antimicrobial prophylaxis (PAP) involves administration of antimicrobial agents (AMA) to patients undergoing a surgical intervention and aims to reduce the risk of postoperative infectious complications, especially at surgical sites. In the present work we assess efficiency and safety of AMA used for prevention of postoperative infectious complications. In the course of our study we pre-analyzed 576 medical histories of post-op patients aged 18 to 87 years (mean age $M \pm SD$ was 57.4 ± 14.5 years), of which 347 (60.2%) were male and 229 (39.8%) female. Only 481 histories were selected for final analysis. We assessed the choice of antibacterial therapy, the frequency of adverse reactions (AR) and infectious complications and the type of the latter. PAP regimens were consistent with the official guidelines in 207 (43.04%) cases. PAP recommendations were ignored in 274 cases (56.96%), and the timing was wrong in 364 cases (75.7%). Incorrect dosages were administered in 225 cases (46.8%). We also discovered an association between irrational PAP regimens and 1) the length of patient's stay in the intensive care unit ($p = 0.003$ and $p < 0.005$), 2) the frequency of reoperations associated with infection ($p = 0.001$), 3) mortality rates ($p = 0.002$), and 4) isolation of strains with multidrug resistance ($p = 0.016$). We conclude that PAP regimens for the inpatients of surgical wards are often compromised by failure to comply with the official guidelines, wrong timing and incorrect dosage, which negatively affects hospital statistics.

Keywords: antibacterial agents, perioperative antimicrobial prophylaxis, infectious complications, surgery, efficiency, adverse reactions

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ОЦЕНКА РАЦИОНАЛЬНОСТИ ПРОВЕДЕНИЯ ПЕРИОПЕРАЦИОННОЙ АНТИМИКРОБНОЙ ПРОФИЛАКТИКИ ИНФЕКЦИОННЫХ ОСЛОЖНЕНИЙ У ПАЦИЕНТОВ ПОСЛЕ ХИРУРГИЧЕСКИХ ВМЕШАТЕЛЬСТВ

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Назначение антибактериальных препаратов (АБП) для проведения периоперационной антимикробной профилактики (ПАП) пациентам хирургического профиля необходимо для снижения частоты возникновения инфекционных осложнений в послеоперационном периоде, в том числе инфекций области хирургических вмешательств. Целью работы была оценка рациональности и безопасности выбора АБП для проведения ПАП инфекционных осложнений у пациентов после хирургических вмешательств. Проведен фармакоэпидемиологический анализ 576 историй болезней пациентов в возрасте от 18 до 87 лет после хирургических вмешательств, средний возраст ($M \pm SD$) составил $57,4 \pm 14,5$ года, мужчин — 347 (60,2%), женщин — 229 (39,8%). В финальный анализ рациональности схем ПАП вошли 481 история болезни. Оценивали рациональность выбора антибактериальной терапии, частоту развития неблагоприятных побочных реакций (НПР), частоту и характер инфекционных осложнений. Показано, что выбор схем ПАП соответствовал рекомендациям в 207 (43,04%) случаях. Выявлена высокая частота несоблюдения рекомендаций по проведению ПАП (274; 56,96%) и нарушения сроков проведения ПАП (364; 75,7%). Общее число случаев нарушения режимов дозирования составило 225 (46,8%). Обнаружена взаимосвязь нерациональных схем ПАП с длительностью пребывания в ОРИТ ($p = 0,003$ и $p < 0,005$), частотой повторных оперативных вмешательств, ассоциированных с инфекцией ($p = 0,001$), уровнем летальности ($p = 0,002$) и выделением полирезистентных штаммов ($p = 0,016$). Таким образом, у пациентов хирургического профиля в условиях реальной клинической практики сохраняется высокая частота несоблюдения рекомендаций по проведению ПАП, нарушения сроков проведения ПАП и режимов дозирования АБП, что негативно сказывается на госпитальных показателях.

Ключевые слова: антибактериальные препараты, периоперационная антимикробная профилактика инфекционных осложнений хирургического вмешательства, рациональность применения, неблагоприятные побочные реакции

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Perioperative antimicrobial prophylaxis (PAP) is an internationally accepted standard of care for surgical patients. It involves the use of antibacterial agents (AA) and aims at lowering the risk of infectious complications in general and surgical site infections (SSI) in particular. Any postoperative infectious complication negatively affects the outcome of surgery, extends a patient's stay in hospital, incurs high costs, increases the risk of re-surgeries, contributes to hospital death rates and requires additional drug-based therapy [1].

According to the European Center for Disease Prevention and Control (ECDC) and the World Health Organization (WHO), infectious complications associated with multi-drug resistant and pan-resistant strains have recently become alarmingly incident [2, 3].

Among the factors promoting antibiotic resistance are overuse and misuse of AA [4]. In this light, a wise approach to the choice of antibacterial therapy becomes particularly important [5]. Inappropriate dosing, including administration of subtherapeutic doses of AA both for treatment and prevention, and prolonged post-operative prophylaxis (>24 h) reduce PAP efficacy and contribute to antimicrobial resistance [6, 7].

The Russian Federation actively supports measures for curbing antibiotic resistance. Revised clinical recommendations proposed in the National Strategy for Antimicrobial Treatment Control highlight the necessity of control over the spread of nosocomial infections [8]. Systemic monitoring of antibiotic resistance and trade regulations are essential components of this strategy [9].

Therefore, the rational use of AA in the clinical setting becomes an important tool for reducing the risk of SSI and adverse reactions (AR) and curbing antibiotic resistance.

The aim of this study was to assess the choice of AA with regard to its adequacy and safety in patients undergoing PAP.

METHODS

We have analyzed the regimens of antimicrobial PAP given to the inpatients of surgical units with regard to their adequacy and compliance with national and international clinical guidelines [1, 10]. We set up a database containing details of patients' clinical and demographic profiles (sex, age, diagnosis, comorbidities, creatinine levels, creatinine clearance rates before and after

surgery) and surgical interventions, including blood loss volume, wound contamination, complications, adverse reactions, and AA doses).

A total of 576 medical histories were selected for the analysis. Of all patients included in the preliminary analysis, 347 (60.2%) were men and 229 (39.8%) — women. Their age ranged from 18 to 87 years (mean age $M \pm SD$ was 7.4 ± 14.5 years). All of the patients had undergone a surgical intervention between June 2016 and December 2016. Details are presented in Table 1.

All surgical interventions performed on the analyzed patients were divided in three groups: general surgeries (356; 61.8%), cardiac surgeries (177; 30.7%), and cancer surgeries (21; 3.6%). The majority of the surgical interventions were elective (468; 81.3%). Most of the surgical wounds were clean (310; 53.8%). Infected wounds were observed in 113 (19.6%) patients who had septic suppurative inflammation at various locations. Clean-contaminated and contaminated wounds were observed in 70 (12.2%) and 84 (14.6%) patients, respectively.

Only 481 medical histories were selected for the final analysis. The rest 95 (16.5%) were excluded due to the lack of reliable data about PAP.

PAP adequacy and safety were assessed based on:

- AA regimens;
- adequacy of regimens, timing and duration of PAP;
- frequency of adverse reactions.

The total frequency of post-operative infectious complications and SSI were also estimated.

In addition, we have analyzed the impact of different factors, such as PAP regimens, clinical and demographic characteristics of patients (age, sex, BMI, underlying medical condition, renal function, type of surgery, wound contamination, blood loss volume) on the risk of infectious complications, the length of hospital stay, the length of stay in intensive care and death.

Statistical analysis was performed in STATISTICA 10.0 (StatSoft Inc., USA). The data were presented as means (M) and the standard deviation (SD). Normality of sample distribution was evaluated by the Shapiro-Wilk W test; homogeneity of variances across two samples was tested using Fisher's T-test. The differences were considered significant at $p < 0.05$. Apart from the correlation analysis, we also used the Mann-Whitney U and Kolmogorov-Smirnov tests to compare two independent

Table 1. Clinical characteristics of patients included in the analysis

Parameter	Patients n = 576	Male n = 347		Female n = 229		p
		M ± SD	M	SD	M	
Age. years	57.4 ± 14.5	57.8	13.6	56.9	15.6	0.468
BMI. kg/m ²	28.20 ± 5.67	27.70	4.80	29.01	6.80	0.005
Hospital stay. days	18.10 ± 22.05	17.20	10.70	19.60	32.40	0.197
Onset of infectious complications. days after surgery	1.5 ± 3.6	1.1	3.6	1.3	3.7	0.596
Onset of non-infectious complications. days after surgery	0.89 ± 4.39	0.88	2.70	0.90	6.20	0.942
Re-operation. days after initial surgery	1.18 ± 3.96	1.40	4.30	0.85	3.30	0.114
Length of stay in intensive care. days	3.10 ± 7.60	2.76	6.20	3.63	9.20	0.180
Duration of mechanical ventilation. days	0.61 ± 4.12	0.30	2.67	1.04	5.60	0.041
Blood loss. ml	214.1 ± 483.1	169.9	426.7	243.3	515.5	0.074
Creatinine ^{0*} . mg/dl	0.95 ± 0.49	1.05	0.56	0.83	0.32	< 0.0001
Creatinine ^{1**} . mg/dl	1.07 ± 0.94	1.13	0.76	0.98	1.16	0.058
Creatinine ^{0*} clearance rate. ml/min	96.80 ± 42.50	100.61	44.91	91.17	38.01	0.009
Creatinine ^{1**} clearance rate. ml/min	74.79 ± 52.20	76.32	48.79	72.48	57.03	0.401

Note: * — creatinine levels and clearance rates (Cockcroft-Gault equation) before surgery; ** — creatinine levels and clearance rates (Cockcroft-Gault equation) 24–48 h after surgery

continuous variables not complying with normal distribution. Two qualitative independent variables were compared using the two-tailed Fisher's test or χ^2 with Yates' correction.

RESULTS

The retrospective analysis of medical histories of 481 patients has revealed that in 297 (43.04%) cases the choice of antibiotics was rational and consistent with existing clinical guidelines. In 274 (56.96%) cases the choice of AA was not rational because it did not account for wound contamination and the specifics of surgery. On the whole, PAP regimens were characterized by the frequent use of 3rd generation cephalosporins (CPh) and cycling of 1st, 2nd, 3rd and 4th generations of CPh in pre- and postoperative management; the regimens also included carbapenems and inhibitor-protected aminopenicillins in combination with aminoglycosides (amikacin), metronidazole, and fluoroquinolones (ciprofloxacin), which were administered to the patients with clean and clean-contaminated wounds. In the studied patients' sample wrong PAP timing was observed in 364 (75.7%) cases. Inappropriate dosing was noticed in 225 (46.8%) cases.

Good choice of PAP regimens (207; 43.04%) was spoiled by inappropriate AA doses in 64 (30.9%) cases (Table 2).

In 364 (75.7%) cases, the inadequate choice of AA (274; 56.96 %) was accompanied by prolonged PAP (regimens were extended beyond 24–48 hours); subtherapeutic doses were prescribed in 161 (58.8%) cases (Tables 2, 3).

Analysis of safety of antibacterial agents used for perioperative prophylaxis

The retrospective analysis of medical records revealed that the total number of adverse reactions was 23 (3.99%); all of them

were observed in the group of patients who received prolonged PAP. No adverse reactions were observed in the group of patients who received PAP before surgery and in the group where PAP regimens were limited to 48 hours. Thus, the risk of adverse reactions increases with PAP duration (Table 4).

Retrospectively, the following AR were observed:

- antibiotic-associated colitis — 9 cases (39.1%);
- psychomotor agitation — 6 cases (26.1%);
- pseudoallergies — 3 cases (13.0%);
- elevated transaminases — 3 cases (13.0%);
- antibiotic-induced nephropathy (vancomycin) — 2 cases (8.6%);
- prolonged QT interval — 2 cases (8.6%).

Further analysis revealed positive correlations between the risk of adverse reactions and: age ($r = 0.109$; $p = 0.009$), the length of hospital stay ($r = 0.291$; $p < 0.0001$), the length of stay in the intensive care unit ($r = 0.374$; $p < 0.0001$), death ($r = 0.269$; $p < 0.0001$), incidence of non-infectious postoperative complications ($r = 0.340$; $p < 0.0001$), postoperative creatinine levels and creatinine clearance rates ($r = 0.256$; $p < 0.0001$). No correlations were found between AR and: allergies ($r = 0.039$; $p = 0.348$), the choice of PAP regimens ($r = 0.340$; $p = 0.387$), dosing ($r = 0.028$; $p = 0.504$), PAP duration ($r = 0.017$; $p = 0.687$) and infectious complications ($r = 0.032$; $p = 0.443$).

The Mann-Whitney and Kolmogorov-Smirnov tests confirmed the presence of reliable associations between AR and age, the length of hospital stay, the length of stay in the intensive care unit, duration of mechanical ventilation and postoperative complications. However, the Kolmogorov-Smirnov test did not yield significant values for death ($p = 0.121$, Table 5). Importantly, low creatinine clearance rates in postoperative patients receiving antibiotics were a significant predictor of AR development.

Table 2. Antibacterial agents used in perioperative prophylaxis and inappropriate dosing

PAP regimen	Number of regimens, n	Inappropriate dosing, n
1. Adequate PAP regimens	207	64
1 st and 2 nd generation cephalosporins	93	29
1 st generation cephalosporin + metronidazole	27	24
Inhibitor-protected aminopenicillins	87	11
2. Inadequate PAP regimens	274	161
3 rd and 4 th generation cephalosporins	141	68
3 rd and 4 th generation cephalosporins + metronidazole	72	56
Cycling of 1 st , 2 nd and 3 rd generation cephalosporins	39	23
Cycling of cephalosporins and vancomycin	11	8
Carbapenems	7	2
Inhibitor-protected aminopenicillins in combination with aminoglycosides or fluoroquinolones	4	4

Table 3. Duration of perioperative prophylaxis

PAP timing	Number of patients	
	abs.	%
Administration of a single AA dose before surgery	117	24.3
Inadequate duration of PAP:	364	75.6
PAP extended to 24 h	92	25.3
PAP extended to 48 h	71	19.5
3–4 days	100	27.5
5–7 days	63	17.3
8–10 days	26	7.1
11–14 days	12	3.3

Frequency of infectious complications in the postoperative period

Postoperative infectious complications were observed in 90 (15.6%) cases, dominated by SSI (45; 50%) and infections of the lower respiratory tract (31; 34.4%), including nosocomial pneumonia in 24 patients (77.4%) and nosocomial tracheobronchitis in 7 patients (22.6%); sepsis (7; 7.8%); intrabdominal infections (6; 6.7%); infections of the urinary tract (1; 1.1%), and infective endocarditis (1; 1.1%). The frequency of infectious complications in patients with different types of wound contamination is shown in Table 6.

PAP was administered to the majority of patients with clean (91.3%) and clean-contaminated (91.6%) wounds. The frequency of infectious complications in such patients was 14.1% and 19.5%, respectively (Table 6). All patients with contaminated wounds underwent PAP; the frequency of infectious complications in this group was 27.1% (n = 19). There were no reliable records about the administration of AA before surgery (usual timing is 30–60 min before the operation) to the patients with infected wounds although those patients did receive AA in the postoperative period (61; 54.5%). In these patients the frequency of infectious complications was significantly higher than in the patients who did not receive PAP (11; 18.03%) than in the patients who received adequate PAP in compliance with clinical guidelines (6; 11.8%). Re-operations were necessary in 86 (14.9%) cases, of which 32 (37.2%) were associated with infectious complications and the rest 54 (62.8%) were not.

Additionally, we have analyzed the associations between a few different factors, such as the bad choice of PAP, patients' clinical and demographic characteristics (age, sex, diagnosis, renal function, type of surgery, wound contamination, blood loss), the frequency of infectious complications, a need for a re-operation, the length of hospital stay, the length of stay in the intensive care unit, bacterial growth, and mortality (Table 7).

Statistically significant were the associations between the bad choice of PAP and mortality ($p = 0.002$), between prolonged PAP /inappropriate dosing and the length of stay in intensive care ($p = 0.003$ and $p < 0.005$, respectively). Inappropriate doses were shown to increase the risk of re-operations associated with post-op infection ($p = 0.001$).

Importantly, elevated creatinine levels measured 24 h after the surgical intervention are a marker of renal function and demonstrate strong associations with the frequency of infectious complications ($p = 0.006$), the length of stay in intensive care ($p = 0.049$), the length of stay in hospital ($p = 0.001$), and mortality ($p = 0.003$).

DISCUSSION

The rational choice of PAP is one of the major tools for regulating the spread of nosocomial infections in surgical patients. PAP aims at reducing the risk of postoperative complications, the length of stay in intensive care and hospital in general, and mortality from septic or suppurative complications. Surgeons, anesthesiologists, clinical pharmacologists, epidemiologists and hospital administration should be encouraged to actively participate in the studies of compliance with international clinical standards for PAP.

Our study demonstrates that in 52.4% cases PAP regimens are consistent with international and national clinical guidelines. Failure to comply with clinical guidelines was observed in 47.6% cases when the choice of antibiotics was not rational, the regimens were extended beyond necessity (85.4%) and the administered doses were inappropriate (66.4%).

Our findings are consistent with those of Khan et al. [11], Vessal et al. [12] and El Hassan et al. [13] who also discovered the lack of compliance with the clinical guidelines for PAP in surgical patients; in those research works compliance varied

Table 4. Frequency of adverse reactions depending on PAP duration

PAP duration	AR	
	абс.	%
Administration of a single AA dose before surgery	0	–
PAP extended to 24 h	0	–
PAP extended to 48 h	5	21.7
3–4 days	3	13.0
5–7 days	3	13.0
8–10 days	5	21.7
11–14 days	7	30.4
Total	23	100.0

Table 5. Associations between clinical and laboratory parameters and the risk of adverse reactions

Parameter	Adverse reactions	
	p1 (Mann-Whitney U test)	p2 (Kolmogorov-Smirnov test)
Age	0.025	0.315
Allergies	$p = 0.308$	$p > 1$
Duration of mechanical ventilation	< 0.0001	0.017
Length of stay in intensive care	< 0.0001	< 0.0001
Length of hospital stay	< 0.0001	< 0.0001
Death	< 0.0001	0.121
Postoperative non-infectious complications	< 0.0001	< 0.0001
Postoperative creatinine levels and clearance rate	< 0.0001	< 0.0001
Infectious complications	$p = 0.165$	$p > 1$

from 1.7% to 82%. The majority of those studies were focused on the timing of preoperative prophylaxis.

According to Gouvêa et al., who have analyzed a few research works on the issue, PAP regimens are observed in 70.3% to 95% cases, the rational choice of PAP varies between 22% and 95 %, bad — between 2.3% and 100%, wrong timing occurs in 73% to 100% cases, and total compliance with clinical standards for PAP — in 5.8%–91.4% cases [14].

A retrospective study by Prospero et al. has demonstrated that over the course of 6 years covered by the study PAP standards were observed in 58% cases. The frequency of postoperative infectious complications was mostly affected by the length of surgery (OR 1.68; 95% CI: 1.56–1.82) and emergency (OR 2.16; 95% CI: 1.96–2.37). The authors note that in spite of poor adherence to PAP guidelines in general, the group where PAP protocols were observed had a low frequency of infectious complications [15].

To encourage medical personnel to adhere to PAP standards and improve clinical care, WHO experts recommend the use of checklists in the perioperative period [16].

In their works, some researchers focus on the choice of antibiotics used for PAP. According to our data, third generation cephalosporins, including those against *Pseudomonas*, are extensively used for perioperative prophylaxis. International studies by Lautenbach et al. [17] and Rodríguez-Baño et al. [18] demonstrate a high correlation between the use of 3rd generation cephalosporins and the spread of strains producing broad-spectrum β -lactamases. Our microbiological monitoring (n = 84) indirectly confirms a high incidence of such strains isolated from patients' samples (22; 26.2%). There is a concern about the emergence of strains resistant to carbapenems Carb+ (23; 27.4%) because the choice of AA for treating infectious complications caused by such strains is very limited.

In our study, adverse reactions were registered in 23 patients (3.99%). There was a relatively high incidence of antibiotic-associated colitis in patients receiving cephalosporins (9; 1.6%) and episodes of psychomotor agitation in elderly patients receiving cephalosporins in combination with metronidazole

(6; 1.04%). According to one of the epidemiological studies, antibiotic-associated diarrhea develops in 8% of inpatients, 1–3% of them have a fulminating course. The researchers conclude that apart from antibiotics, among the risk factors contributing to this condition are anticholinergics and medications inhibiting intestinal motility [19].

Grill et al. report a high incidence of neurological disorders following administration of fluoroquinolones to surgical patients. Among the conditions observed are episodes of psychomotor agitation, seizures, myoclonus, delirium, dysarthria, and ataxia. Severe neurologic responses are particularly frequent in elderly patients and patients with a history of neurological disorders. The researchers note that such adverse reactions are equally frequent for all types of fluoroquinolones [20].

The increased risk of adverse reactions following prolonged PAP supports the importance of adherence to clinical protocols. Our correlation analysis shows that adverse reactions correlate with the length of hospital stay ($r = 0.291$, $p < 0.0001$), the length of stay in the intensive care unit ($r = 0.374$; $p < 0.0001$), mortality ($r = 0.269$; $p < 0.0001$), and the duration of mechanical ventilation ($r = 0.249$; $p < 0.0001$).

Importantly, in patients receiving antibiotics the frequency of adverse reactions correlates with creatinine levels and creatinine clearance rates ($p < 0.0001$), which are used as markers of renal damage. The study [21, 22] has demonstrated that deteriorating renal function affects pharmacokinetics of antibiotics and increases the risk of adverse reactions to the point of life threatening. These data need to be prospectively studied in more detail.

CONCLUSIONS

Compliance with the guidelines for perioperative prophylaxis in the clinical setting remains poor.

Our study has revealed associations between the inadequate choice of antibiotics and mortality, prolonged PAP and the length of patient's stay in intensive care, inadequate dosing and the frequency of re-operations associated with post-op

Table 6. Frequency and type of infectious complications in patients with different types of wound contamination undergoing perioperative prophylaxis

Infectious complications n = 90 (15.6%)	Wound contamination, n (%)			
	clean n = 310	clean-contaminated n = 84	contaminated n = 70	infected n = 112
SSI	15 (5.3)	6 (7.8)	12 (7.1)	4 (7.8)
Nosocomial pneumonia	15 (5.3)	3 (3.9)	4 (5.7)	0
Sepsis	5 (1.8)	1 (1.3)	0	0
Other*	5 (1.8)	5 (6.5)	3 (4.3)	2 (3.9)
Total	40 (14.1)	15 (19.5)	18 (27.1)	6 (11.8)
PAP administered	283 (91.3)	77 (91.6)	70 (100)	51 (45.7)

Note: * — infective endocarditis, urinary tract infection, nosocomial tracheobronchitis, intraabdominal infection

Table 7. Impact of inadequate PAP regimens on hospital statistics

Inadequate PAP	p (Mann-Whitney U)					
	Infectious complications	Length of stay in intensive care	Length of hospital stay	Re-operations associated with post-op infection	Presence of multidrug resistant bacteria in patients' samples	Death
Prolonged PAP	> 1	0.003	0.530	0.934	0.290	0.465
Inappropriate dosing	0.603	<0.005	0.500	0.001	0.016	0.980
Elevated creatinine levels 24 h after surgery	0.006	0.049	0.001	0.567	0.899	0.003
All regimens	0.900	0.116	0.206	0.103	0.610	0.002

infections, as well as the length of stay in the intensive care unit.

Adverse reactions are a risk factor for extended mechanical ventilation and extended stay in the intensive care unit or hospital in general.

Considering the reliable association between creatinine levels and the risk of infectious complications and death, we believe that renal function tests has a high prognostic value, which can be elucidated by further prospective studies of patients receiving PAP.

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